

12/07/01







Attorney Docket No. 525400-208

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Patent Examining Operations



BOX PATENT APPLICATION U.S. Patent and Trademark Office P.O. Box 2327 Arlington VA 22202

Application Transmittal Letter

Dear Sir:

Transmitted herewith for filing is the patent application of: Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz entitled:

SPINAL INTERVERTEBRAL IMPLANT, INTERCONNECTIONS FOR SUCH IMPLANT AND PROCESS FOR MAKING

Enclosed with the application are:

- 1. Specification (85 sheets);
- 2. Claims (32 sheets);
- 3. Abstract (1 sheet);
- 4. Drawings (informal; 21 sheets);
- 5. Executed Declaration and Power of Attorney of Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz;
- 6. Assignment from Lawrence A. Shimp, John M. Winterbottom, David R. Kaes, Todd M. Boyce, and Erik O. Martz to Osteotech, Inc.;
- 7. Recordation Form Cover Sheet;
- 8. Information Disclosure Statement and Form PTO-1449 with cited references:
- 9. Preliminary Amendment;
- 10. Applicants are a small entity;
- 11. Our check (No.) in the amount of \$2,396.00 (\$2,356.00 filing fee and \$40.00 Assignment recordation fee); and
- 12. Self-addressed, postage paid, return receipt postcard.

The fee has been calculated as follows:

	Number of	Extra		
	Claims Filed	Claims	Rate	Fee
Basic Fee			\$370.00	\$370.00
Total Claims	138 - 20	118	x \$9.00 =	1,062.00
Independent	25 - 3	22	x \$42.00 =	924.00
Claims				
Assignment Fee			\$40.00	40.00
TOTAL				\$2,396.00

The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR 1.16 associated with this communication or credit any overpayment to Deposit Account No. 03-0678. A duplicate copy of this paper is enclosed.

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EL680646830US Deposit Date: December 5, 2001

I hereby certify that this paper and the attachments hereto are being deposited today with the U.S. Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above addressed to:

Box Patent Application

U.S. Patent and Trademark Office

P.O. Box 2327

Arlington VA 22202

William Squire

Dec. 5, 2001 Date

#123732 v1 -

Respectfully submitted, Lawrence A. Shimp et al.

William Squire, Esq. Reg. No. (25,378

Attorney for Applicants

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Please date stamp and return to ascressee on reverse side	•
Applicant(s): LAWRENCE A SHIMPETAL	
Serial No.: Nor Assigned Filed: Her Title (or mark): Spinal Titelveltebral Timela FOL SICH THELANT AND PLACESS	NT, INTELCONNECTIONS
DOCUMENTS	ENCLOSED
Application sheets: Descr.: 85 SHEETS Drawing sheets: 21 SHEETS	Claims 32 SHEETS Abstract 1 SHEET Formal Informal
Declaration (executed <u>DEC. 3, 2001</u>)	Assignment to OSTEOTECH, TNC
✓ Verified Statement Claiming Small Entity Status	Type of OA: Inform. Discl. Statement
Response to OA dated Mo. Ext. of Time	X FORMITHY WITH CITED REFERENCES
Other PRELIMINARY AMENSHENT	Check Amount \$ 2.396.00 No
First class mail Certificate Dated: Decket No.: 525400 208	Express Mail Label Deposited on: Dec. 5, 206/ Atty.: U.S